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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/864,562	05/23/2001	Shanlin Hao	I 69.12-0485	2857

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EXAMINER

ROSE, ROBERT A

ART UNIT PAPER NUMBER

3723

DATE MAILED: 12/29/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/864,562

Applicant(s)

HAO ET AL.

Examiner

Robert Rose

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 9/15/04, 10/12/04.  
2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.  
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 13-33 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
5) ☒ Claim(s) 32 and 33 is/are allowed.  
6) ☒ Claim(s) 13-19, 20-24 and 27-30 is/are rejected.  
7) ☒ Claim(s) 25, 26 and 31 is/are objected to.  
8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.  
10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)  
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)  
3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 10/12/04.  
4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.  
5) ☐ Notice of Informal Patent Application (PTO-152)  
6) ☐ Other: \_\_\_\_\_.

### DETAILED ACTION

1. Receipt is acknowledged of Applicant's Prior Art Statement, filed October 12, 2004.
2. Claims 1-12 have been canceled. Claims 32-33 have been added.
3. Claims 13-19 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. In claim 13, line 1 Applicant recites "a material removal device", then in line 5 recites "means for controlling the material removal device...". Thus, an element outside of the scope of the preamble is included as an element of the device per se. It is unclear from the claim whether the scope of the claim is intended as "a material removal device" per se, or whether the scope is meant to include the material removal device in combination with a means for controlling it. In claim 16, line 1 the phrase "the height of an electrical response" is deemed inaccurate, and it appears Applicant's intention may have been to delete the phrase "the height of". In addition, being that claim 16 is an apparatus claim, the hybrid functional phrase "sensing...comprises means..." should be amended to reflect the further structural limitations of the previously recited "means for sensing" of claim 13.
4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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5. Claims 13-17, 20-24, and 27-30 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by Cheprasov et al(US 6045431). Cheprasov et al discloses an apparatus for lapping a row of magnetic head sliders comprising all of the subject matter set forth in applicant's claims above. Note the embodiment of figures 9-10 which show individual actuators assigned to each of the respective slider heads for customized lapping of each individual slider. A sensor is associated with each of the sliders to measure a parameter indicative of stripe height, and comparison is made to a target height to determine when an endpoint for a respective slider is achieved. Electrical response of each MR element is sensed and used to adjust the force in an open-loop or closed-loop feedback control system(column 7, lines 34-67). The electronic elements can comprise either the magnetic heads themselves, or sensor elements fabricated along with the magnetic heads at regularly spaced intervals. Note column 8, lines 6-9 that the signal can be used to determine the endpoint of polishing.

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over Cheprasov et al. To measure the signal amplitude rather than change in resistance as an indicator of stripe height would have been obvious to those of ordinary skill in the art, since both parameters are routinely used in endpoint detection processes.

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8. Claims 25-26, and 31 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

9. Claim 19 would be allowable if rewritten or amended to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, set forth in this Office action.

10. Claims 32-33 are allowed.

11. Applicant's arguments filed September 15, 2004 have been fully considered but they are not persuasive. Cheprasov et al provides individual control of the polishing pressure, and endpoint monitoring of each individual slider in the row. Electrical response of each MR element is sensed and monitored during polishing, and used to adjust the force in an open-loop or closed-loop feedback control system(column 7, lines 34-67). The electronic elements can comprise either the magnetic heads themselves, or sensor elements fabricated along with the magnetic heads at regularly spaced intervals. Claims 32-33 are allowable over the prior art since the art does not disclose or suggest individual monitoring of a dummy magnetorestrictive element on each slider of the row for customized polishing of each slider head.

12. In view of the new grounds of rejection of claims 13-19 not necessitated by Applicant's response, this action is not made final.

13. Any inquiry concerning this communication should be directed to Robert Rose at telephone number (703) 308-1360.

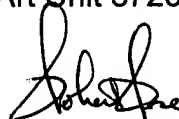
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December 22, 2004.

Robert Rose  
Primary Examiner  
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A handwritten signature in black ink, appearing to read "Robert Rose", positioned below the printed name and title.